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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,357	11/27/2001	Malcolm L. Geftor	PPI-105

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

CONFIRMATION NO. 1918

FORMALITIES LETTER



\*OC000000007395365\*

Date Mailed: 01/31/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/29/2002 SZEWDIE1 00000045 09996357

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:202	42.00 OP
04 FC:203	1467.00 OP
05 FC:204	140.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

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ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$1649.
  - \$1467 for 163 total claims over 20.
  - \$42 for 1 independent claims over 3 .
  - \$140 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2084.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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March 20, 2002

Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

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Re: U.S. Patent Application Serial No. 09/996,357  
Title: *"Therapeutic Agents and Methods of Use Thereof For Treating an Amyloidogenic Disease"*  
Inventors: Malcolm L. Gefter *et al.*  
Filing Date: November 27, 2001  
Our Reference No. PPI-105

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice To File Missing Parts of Nonprovisional Application (in duplicate);
2. Executed Declaration, Petition and Power of Attorney (four copies, 5 pages each);
3. Copy of Notice to File Missing Parts of Nonprovisional Application (Formalities Letter);
4. Statement of Limited Recognition Under 37 C.F.R. §10.9(b);
5. Check for \$2084.00 (basic filing fee, extra claims fee and surcharge);
6. Pre-paid acknowledgment postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. *For this purpose, a duplicate of this sheet is enclosed.*

**Certificate of First Class Mailing (37 CFR 1.8(a))**

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on:

March 20, 2002

Date

Maria C. Laccotripe

Limited Recognition Under 37 C.F.R. § 10.9(b)

LAHIVE & COCKFIELD, LLP

Attorneys at Law

By:

Maria C. Laccotripe, Ph.D.  
Attorney for Applicants

Limited Recognition Under 37 C.F.R. §10.9(b)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: Malcolm L. Gefter, *et al.*

Serial No.: ~~filed herewith~~ 09/996,357  
HCL

Filed: November 27, 2001

For: THERAPEUTIC AGENTS AND METHODS  
OF USE THEREOF FOR TREATING AN  
AMYLOIDOGENIC DISEASE

Attorney Docket No.: PPI-105

Group Art Unit: unknown

Examiner: unknown

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Commissioner for Patents  
Washington, D.C. 20231

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

March 20, 2002  
Date of Signature and of Mail Deposit

By: 

Maria C. Laccotripe  
Limited Recognition Under 37 C.F.R. § 10.9(b)

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION**

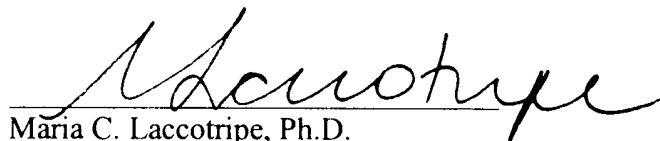
Dear Sir:

Responsive to the Notice to File Missing Parts of Non-Provisional Application dated January 31, 2002, Applicants' attorney submits herewith the executed Declaration, Petition and Power of Attorney for the above-identified patent application. A check in the amount of **\$2084.00** is enclosed to cover the total amount owed by Applicants including the \$65.00 surcharge, \$370.00 basic filing fee, and \$1,649.00 additional claims fee. A copy of the Formalities Letter is also enclosed.

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'M. Laccotripe', is written over a horizontal line.

Maria C. Laccotripe, Ph.D.  
Attorney for Applicants  
Limited Recognition Under 37 C.F.R. §10.9(b)

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Dated: March 20, 2002